

WR:jam 09/26/03 6047-61248 222961

PATENT

#12

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Casey Prindiville et al.

Application No. 09/971,952

Filed: October 4, 2001

For: SEMICONDUCTOR PACKAGE HAVING  
DEFECTIVE SUBSTRATE

Examiner: Lourdes C. Cruz

Art Unit: 2827

Attorney Reference No. 6047-61248

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence and any documents referred to as being transmitted herewith are being facsimile transmitted to the attention of Francis Hicks of the U.S. Patent and Trademark Office, Mail Stop Petition, to facsimile telephone number (703) 308-6916 on the date shown below:

Attorney  
for Applicant(s)Wayne W. RupertDate Mailed September 26, 2003

MAIL STOP RCE  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(4)

FAX RECEIVED

SEP 26 2003

PETITIONS OFFICE

Sir:

Listed on the accompanying form PTO-1449 and enclosed herewith are two English-language documents. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

The filing of this Information Disclosure Statement should not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art with respect to the present application.

Applicant filed this Information Disclosure Statement ("IDS") before the mailing of a first Office action after the filing of a Request for Continued Examination. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicant to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

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[illegible]

EXAMINER SIGNATURE:	DATE CONSIDERED:
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\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

WR:jam 09/26/03 222960  
PATENT

Attorney Reference Number 6047-61248  
Application Number 09/971,952

#13

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Casey Prindiville et al.

Application No. 09/971,952

Filed: October 4, 2001

For: SEMICONDUCTOR PACKAGE HAVING  
DEFECTIVE SUBSTRATE

Examiner: Lourdes C. Cruz

Art Unit: 2827

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Attorney  
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September 26, 2003

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REQUEST FOR CONTINUED EXAMINATION TRANSMITTAL LETTER

PETITIONS OFFICE

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the application referenced above.

Submission required under 37 C.F.R. § 1.114

☒ Enclosed are:☒ Information Disclosure Statement (IDS), PTO-1449 Form and references☒ Charge \$880.00, including \$750.00 RCE fee under 37 C.F.R. § 1.17(e) and \$130.00 petition fee under 37 C.F.R. § 1.17(h) for Petition to Withdraw Application From Issuance under 37 C.F.R. § 1.313(c)(2), to Deposit Account No. 02-4550. A triplicate copy of this sheet is enclosed.☒ Please charge any additional fees that may be required in connection with filing this amendment and any extension of time, or credit any overpayment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.


Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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cc: Docketing